

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/625,048	07/25/2000	Charles G. Fisher	84417.4001

James E. Marina Esq
Winston & Strawn
200 Park Avenue
New York, NY 10166

FORMALITIES LETTER



OC000000005398841

Date Mailed: 09/14/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Salah E. Miles
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 RG01TOM 00000063 09625048

01 FC:105

130.00 OP

84417.4001



12-15-00

SECTOR \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fisher et al.

Serial No.: 09/625,048

Filed: July 25, 2000

Art Unit: 2761

For: System for and Method of Variable Annuity Contract Administration

Examiner: Not Assigned

Winston & Strawn
200 Park Avenue
New York, New York 10166
212-294-6700

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

SIR:

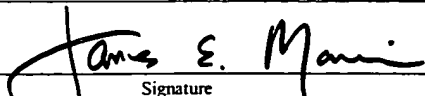
In response to the Notice to File Missing Parts of Nonprovisional Application dated September 14, 2000, Applicant encloses herewith a fully executed Combined Declaration and Power of Attorney for all three inventors, a Petition for a One Month Extension of Time to Respond to Notice to File Missing Parts of Nonprovisional Application, and a check for \$240.00 (\$130.00 surcharge for late filing of Declaration and \$110.00 petition fee).

EXPRESS MAIL™ mailing label number EL776660183US

Date of Deposit December 14, 2000

I hereby swear that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

James E. Marina


Signature

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 23-2428, Order No. 84417.1.

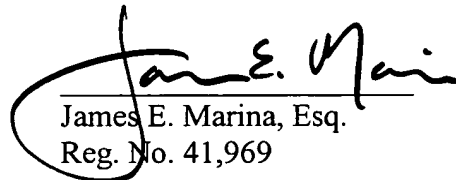
Applicant also requests that the Filing Receipt be amended to list the names of all three inventors as indicated on the enclosed copy.

A copy of the Notice to File Missing Parts of Nonprovisional Application is also enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 23-2428, Order No. 84417.1.

Dated: December 14, 2000

Respectfully submitted,


James E. Marina, Esq.
Reg. No. 41,969



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/625,048	07/25/2000	2761	690	84417.4001	12	20	2

FILING RECEIPT



OC000000005398840

James E. Marina Esq
Winston & Strawn
200 Park Avenue
New York, NY 10166

Date Mailed: 09/14/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles G. Fisher, ~~Residence Not Provided~~, Scarsdale, NY;

Bruce C. Long, Newport, RI;

Continuing Data as Claimed by Applicant

Donald P. Sullivan Jr., Bethlehem, PA.

Foreign Applications

If Required, Foreign Filing License Granted 09/14/2000

Title

System for and method of variable annuity contract administration

Preliminary Class

705

Data entry by : MILANI, JALEH

Team : OIPE

Date: 09/14/2000

